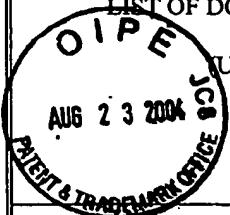


FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office	Attorney Docket Number: 5577-233	Serial No. 09/862,968
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LIST OF DOCUMENTS CITED BY APPLICANT

(Use several sheets if necessary)



Applicants: Aiken et al.

Filing Date: May 22, 2001

Group: 2152-2154

U. S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
W	1	US2002/0143954A1	10/3/02	Aiken Jr et al.	709	227	
W	2	US2002/0143953A1	10/3/02	Aiken Jr et al.	709	227	
W	3	US2003/0061402 A1	3/27/03	Yadav	709	328	
W	4	US2003/0202536A1	10/30/03	Foster et al.	370	469	
W	5	US2003/0079146A1	4/24/03	Burstein	713	201	
N	6	US2002/0199000A1	12/26/02	Banerjee	709	227	

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		Document Number	Date	Country	Class	Subclass	Translation Yes No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office				Attorney Docket Number: 5577-233		Serial No. 09/862,968
LIST OF DOCUMENTS CITED BY APPLICANT						
(Use several sheets if necessary)				Applicants: Aiken et al.		
				Filing Date: May 22, 2001		Group: 2152-2154
U. S. PATENT DOCUMENTS						
Examiner Initial		Document Number	Date	Name	Class	Subclass
✓✓	1	6,657,974	12/02/03	Britton et al.	370	216
	2	6,650,641	11/18/03	Albert et al.	370	392
	3	6,606,315	08/12/03	Albert et al.	370	352
	4	6,314,463 B1	11/06/01	Abbott et al.	709	224
	5	6,223,205 B1	04/24/01	Harchol-Balter et al.	709	105
	6	6,141,759	10/31/00	Braddy	713	201
	7	6,119,174	09/12/00	Borowsky et al.	710	15
	8	5,774,668	06/30/98	Choquier et al.	395	200.53
	9	5,774,660	06/30/98	Brendel et al.	395	200.31
	10	2002/0124089A1	09/05/02	Aiken Jr et al.	709	227
✓	11	2002/0178265A1	11/28/02	Aiken Jr et al.	709	228
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		Document Number	Date	Country	Class	Subclass
						Translation Yes No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
✓✓	12	U.S. Application Serial No. 09/693,663 (Attorney dkt. no. 5577-214) filed October 20, 2000.				
✓✓	13	U.S. Application Serial No. 09/693,027 (Attorney dkt. no. 5577-215) filed October 20, 2000.				

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FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office		Attorney Docket Number: 5577-233	Serial No. 09/862,968
LIST OF DOCUMENTS CITED BY APPLICANT <i>(Use several sheets if necessary)</i>		Applicants: Aiken et al.	
		Filing Date: May 22, 2001	Group: 2152 2154

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Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
✓✓	1	6,704,317 B1	3/9/04	Dobson	370	401	
✓✓	2	5,918,017	6/29/99	Attanasio et al.	395	200.54	
✓✓	3	5,812,771	9/22/98	Fee et al.	395	200.31	
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		Document Number	Date	Country	Class	Subclass	Translation Yes No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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<p>Substitute form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(use as many sheets as necessary)</p> <p>Sheet 1 of 1</p>				<p>Complete if Known</p> <table border="1"> <tr> <td>Application Number</td> <td>09/862,968</td> </tr> <tr> <td>Filing Date</td> <td>May 22, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>John Andrew Aiken, Jr.</td> </tr> <tr> <td>Group Art Unit</td> <td>2152 2154</td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Attorney Docket Number</td> <td>5577-233</td> </tr> </table>		Application Number	09/862,968	Filing Date	May 22, 2001	First Named Inventor	John Andrew Aiken, Jr.	Group Art Unit	2152 2154	Examiner Name		Attorney Docket Number	5577-233
Application Number	09/862,968																
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First Named Inventor	John Andrew Aiken, Jr.																
Group Art Unit	2152 2154																
Examiner Name																	
Attorney Docket Number	5577-233																

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Examiner Signature	V. Va	Date Considered	10/14/04
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FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office	Attorney Docket Number 5577-233	Serial No. 09/862,968
LIST OF DOCUMENTS CITED BY APPLICANT <i>(Use several sheets if necessary)</i>		

Applicants: Aiken Jr. et al.

Filing Date: May 22, 2001

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(Use several sheets if necessary)



Applicants: Aiken, Jr. et al.

Filing Date: May 22, 2001

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	2	4,495,570	01/22/85	Kitajima et al.	364	200	
	3	4,577,272	03/18/86	Ballew et al.	364	200	
	4	5,031,089	07/09/91	Liu et al.	364	200	
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	6	5,675,739	10/07/97	Eilert et al.	395	200	
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	8	5,923,854	07/13/99	Bell et al.	395	200.73	
	9	5,935,215	08/10/99	Bell et al.	709	239	
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W.	11	A. Dahlin, et al. <i>EDDIE A Robust and Scalable Internet Server</i> . Ericsson Telecom AB, Stockholm, Sweden, pp. 1-7 (May 1998).
	12	Brochure entitled, <i>ACEdirector™ 8-PORT 10/100 MBPS ETHERNET SWITCH</i> . Alteon WebSystems, San Jose, CA (1999)
t.	13	Brochure entitled, <i>Enhancing Web User Experience with Global Server Load Balancing</i> . Alteon WebSystems, San Jose, CA (June 1999).
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t.	16	http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.1.2;1.3.1.2.5_Virtual_IP_Address (VIPA); Excerpt from IP Configuration for OS/390, pp. 1-4 (1998)
t.	17	<a 390,_pp._1-3_(1998)"="" ;_excerpt_from_ip_configuration_for_os="" href="http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.2;1.3.20_DEVICE_and_LINK_Statement—Virtual_Devices_(VIPA)"><a 390,_pp._1-3_(1998)"="" ;_excerpt_from_ip_configuration_for_os="" href="http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.2;1.3.20_DEVICE_and_LINK_Statement—Virtual_Devices_(VIPA)">
V	18	

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		Filing Date: May 22, 2001	Group: 2132 2154
✓V.	19	Pai et al. <i>Locality-Aware Request Distribution in Cluster-based Network Servers</i> . Proceedings of the 8 th International Conference on Architectural Support for Programming Languages and Operating Systems (ASPLOS VIII), San Jose, CA, October, 1998.	
✓	20	Aron et al. "Scalable Content-aware Request Distribution in Cluster-based Network Servers." Proc. of the 2000 Annual Usenix Technical Conference, San Diego, CA, June 2000.	
✓	21	Aron et al. "Efficient Support for P-HTTP in Cluster-Based Web Servers." Proc. of 1999 Annual Usenix Technical Conference, Monterey, CA, June 1999.	
✓	22	Aron, Mohit. "Scalable Content-aware Request Distribution in Cluster-based Network Servers." http://softlib.rice.edu/softlib/scalableRD.html . Department of Computer Science, Rice University.	
✓	23	"Data Communications Awards Allot Communications 'Hot Product' in Internetworking/IP Tools Category." Press Bulletin. Los Gatos, CA, January 18, 1999.	
✓	24	"Allot Introduces Turnkey Next Generation IP Service and Creation Solution--the Virtual Bandwidth Manager." Press Bulletin. Atlanta, GA, SUPERCOMM 2000, Booth #8458, June 5, 2000.	
✓	25	"Allot Announces the General Availability of its Directory Services-Based NetPolicy™ Manager." Press Bulletin. Tel Aviv, Israel, February 28, 2000.	
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✓	27	"Allot Communications Announces Business-Aware Network Policy Manager." Press Bulletin. Sophia Antipolis, France, September 20, 1999.	
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✓	29	"Allot Communications Launches NetEnforcer with NetWizard, the Fastest Way to Implement Accurate and Reliable Network QoS Policies." Press Bulletin. Burlingame, CA, January 25, 2001	
✓	30	"Policy-Based Network Architecture." from www.allot.com pp. 1-11.	
✓	31	U.S. Patent Application No.: 09/401,419, entitled, <i>Methods, Systems and Computer Program Products for Automated Movement of IP Addresses Within a Cluster</i> , filed September 22, 1999.	
✓	32	U.S. Patent Application No.: 09/640,412, entitled, <i>Methods, Systems and Computer Program Products for Non-Disruptively Transferring a Virtual Internet Protocol Address Between Communication Protocol Stacks</i> , filed August 17, 2000.	
✓	33	U.S. Patent Application No.: 09/640,409, entitled, <i>Methods, Systems and Computer Program Products for Cluster Workload Distribution</i> , filed August 17, 2000.	
✓	34	U.S. Patent Application No.: 09/640,438, entitled, <i>Methods, Systems and Computer Program Products for Failure Recovery of Virtual Internet Protocol Addresses</i> , filed August 17, 2000.	

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FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office				Attorney Docket Number 5577-233			Serial No. To be Assigned
LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)				Applicants: Aiken, et al.			<i>09/862,968</i> <i>9903 U.S. PRO</i> <i>09/862,968</i> <i>05/22/01</i> <i>2154</i>
				Filing Date Concurrently Herewith <i>5-22-01</i>			Group Unknown
U. S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
W	1	5,917,997	06/29/01	Bell et al.	395	182.02	
W	2	5,923,854	07/13/99	Bell et al.	395	200.73	
W	3	5,935,215	08/10/99	Bell et al.	709	239	
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W	5	U.S. Patent Application No.: 09/401,419, entitled, <i>Methods, Systems and Computer Program Products for Automated Movement of IP Addresses Within a Cluster</i> , filed September 22, 1999.					
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W	8	U.S. Patent Application No.: 09/640,438, entitled, <i>Methods, Systems and Computer Program Products for Failure Recovery of Virtual Internet Protocol Addresses</i> , filed August 17, 2000.					

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